



Application Reference # _____

Account # _____

Only complete if you want another person to trade in your account(s).

Throughout this agreement, the words "you", "your" and "yours" mean the applicant (and any Co-applicant or other individuals with authority over the account). The words "we", "us" and "our" mean TD Direct Investing, a division of TD Waterhouse Canada Inc.

You authorize [icon] to act as your agent to buy, sell and trade in securities for the accounts listed in your name or number on our records. Your agent is authorized to act for you in the same manner and with the same force and effect as if you had taken such action with us. You authorize us to follow your agent's instructions regarding these purchases, sales, or trades, in every respect and you approve of any transactions your agent makes with us for your account. These transactions will be made according to the terms and conditions of agreements you have already agreed to for your accounts with us, and you will be fully liable for them. You agree to indemnify us and hold us harmless from and to pay us promptly on demand for any losses and if there is any money due on your account.

This trading authorization and your promise to pay us for any losses are in addition to any rights we may have under other agreements between the both of us. This is not meant to limit or restrict our rights in any way. However, you acknowledge and agree that we reserve the right to review and reject any of your agent's transaction requests.

Ending this Authorization

Each of you agrees that this authorization is binding on each of you as well as on your heirs, executors, administrators, successors and assigns. We will continue to deal with your agent until this Authorization is ended by our actually having received either your written notice of revocation or proof of your death.

Signatures

The signatures on this form must be witnessed by someone other than the applicant, co-applicant, agent, spouse or common-law partner of any of these persons. A person may witness all signatures and each signature must be witnessed.

The witness must be present when the applicant, co-applicant and/or agent sign and must then sign as a witness.

Applicant's Signature X [icon] Date: / /
month day year

Co-Applicant's(s') Signature X [icon] Date: / /
month day year

I accept this appointment as agent and attorney.

Agent's Signature X [icon] Date: / /
month day year

Witness for signature(s) of (check all that apply) [] Applicant [] Co-Applicant [] Agent


[icon] Witness' Signature X Print Full Name:
Address: Phone:

Witness for signature(s) of (check all that apply) [] Applicant [] Co-Applicant [] Agent

[icon] Witness' Signature X Print Full Name:
Address: Phone:

Witness for signature(s) of (check all that apply) [] Applicant [] Co-Applicant [] Agent

[icon] Witness' Signature X Print Full Name:
Address: Phone:

About Your Agent (To be completed for the person appointed to act as agent attorney) 

Home address _____

Name and address of Agent's employer _____

Occupation/Last Occupation  _____ Type of Business  _____

Date of birth _____ Relationship to Account Holder  _____

Banking information: Bank _____ Branch _____ Account No. _____

Does the Agent have other brokerage accounts or control the trading in any other accounts?

No Yes Account Types _____

Is Agent a Director, Senior Officer or Insider of any publicly traded company?

No Yes - Name of Company(ies) _____

Is Agent, as an individual or as part of a group, in a control¹ position of a publicly traded company?

No Yes - Name of Company(ies) _____

Valid Identification/Citizenship Required - For all Applicants 

(U.S. Persons to also provide: - W9 form (#515876) and a Waiver of Confidentiality (#591856))

Valid (photo) ID types (one copy required):

- Canadian Drivers Licence (Restriction: QC only if client volunteers it)
- Permanent Resident Card
- Canadian Provincial Government ID Card (with photo) (AB, BC, NL, NS, SK, PEI only)
- Certificate of Indian Status (with photo)
- Passport
- Provincial Health Insurance Card (with photo) (Restriction: ON, MB and PEI not allowed by law; QC only if client volunteers it)
- Canadian Forces ID Card
- IMM1000 Record of Landing (with photo, signature and certified)
- Canadian Citizenship Card
- US Government Nexus Card
- IMM5292 Permanent Residence (with photo, signature and certified)

Has a TD employee dated and certified true a photocopy of the client ID? Yes No

ACF2 Login ID _____ Name of TD Employee _____ Date ID Certified (mm/dd/yyyy) _____

Type of Identification _____ Identification Number _____

Place of Issue _____ Date of Issue (mm/dd/yyyy) _____ Expiry Date (mm/dd/yyyy) _____

¹ Control means the holdings of any person, company or combination of persons or companies holding a sufficient number of any securities of an issuer to affect materially the control of that issuer, but any holding of any person, company or combination of persons or companies holding more than 20% of the outstanding voting securities of an issuer shall, in the absence of evidence to the contrary, be deemed to affect materially the control of that issuer.